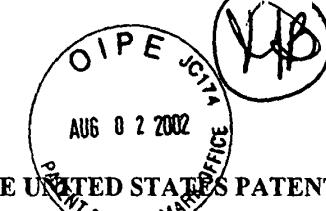


Docket No. 216654US-8



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Technology Center 2600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazutaka NAGA, et al.

SERIAL NO: 10/058,085

GAU: 2633

FILED: January 29, 2002

EXAMINER: Not Assigned

FOR: ARRAYED WAVEGUIDE GRATING OPTICAL MULTIPLEXER/DEMULITPLEXER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.9

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending applications which may be related to the present application. A copy of the claims and drawings of the pending applications is attached.
- A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

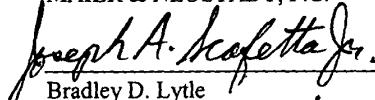
- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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Joseph A. Scafetta, Jr.

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Docket No. 216654US-8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazutaka NARA, et al.

SERIAL NO: 10/058,085

GAU: 2633

FILED: January 29, 2002

EXAMINER: Not Assigned

FOR: ARRAYED WAVEGUIDE GRATING OPTICAL MULTIPLEXER/DEMULTIPLEXER

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
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SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

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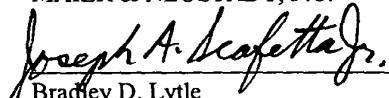
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LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent No.</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
211874US8	10/026,722	12/27/01	PENDING
216654US8*	10/058,085	01/29/02	PENDING
217861US8	10/159,101	06/03/02	PENDING
222035US8 CONT	10/127,406	04/23/02	PENDING

*Present application; listed for information

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